# **All Saints Catholic College**



# **Complaints Procedure**

Approved by Governors: 8<sup>th</sup> July 2020

Date to be reviewed:

September 2021



## **VISION STATEMENT**

We are a Catholic community dedicated to providing an excellent education to all of our pupils so that they fulfil their ambitions and exceed their expectations.

We work together as a family through mutual respect so that everyone succeeds academically and grows spiritually.

### **COMPLAINTS PROCEDURE**

#### Aim

The college's aim is to set out a procedure in relation to the Education (Independent School Standards) (England) Regulations 2010 for resolving parental concerns and complaints in that it:

- encourages resolution of problems by informal means wherever possible;
- is easily accessible and publicised;
- is simple to understand and use;
- is impartial;
- is non-adversarial;
- allows swift handling with established time limits for action and keeping people informed of the progress;
- ensures a full and fair investigation by an independent person where necessary;
- respects people's desire for confidentiality;
- addresses all the points at issue and provides an effective response and appropriate redress, where necessary; and
- provides information to the school's Senior Leadership Team so that services can be improved.

#### **Raising Concerns**

- 1. Parents will be encouraged to raise any concerns directly with the member of staff concerned so that they can be resolved as quickly and informally as possible.
- 2. Where parents raise concerns with any other member of staff including, for example, a member of the Senior Leadership Team, they will seek to refer them to the member of staff concerned for informal resolution. If this is clearly not possible, the parent should be referred to the Head Teacher's PA who will explain the complaints procedure.

- 3. The normal college standard will be that members of staff aim to make an initial response to concerns raised by parents within 24 hours. Where this does not prove possible, an explanation will be given for the delay.
- 4. Members of staff dealing with concerns should record any concerns raised and the steps taken to deal with them. Unless it is clear that the parent is completely satisfied, they should be given a copy of the written complaints procedure which includes a form for making written complaints.

#### **Formal Complaints**

- 1. Any member of staff dealing with a concern which is not fully resolved must provide the parent with a copy of the Complaints Procedure, including the form for making written complaints.
- 2. The first stage of a formal complaint is for the parent to meet with either:
  Miss Scott (in relation to a Pastoral issue)
  Ms Gilligan (in relation to a Teaching and Learning issue)

Or, if there is a larger complaint, with Mr Garvey (acting Deputy Head).

Such a meeting will normally take place within 3 working days of the parent indicating that they wish to make a complaint.

- 3. The appropriate member of staff will first offer to arrange to mediate the complaint by meeting with the parent and the member of staff about whom the parent has expressed a concern (if appropriate).
- 4. If such mediation is not possible, or if it does not resolve the concern to the parent's satisfaction, the member of staff will explain the Complaints Procedure and provide any support and guidance which may be required to complete the complaint form.
- 5. Within 5 working days of receiving a written complaint, the Head Teacher's PA will write to the parent making the complaint and explain how and by whom the complaint is to be investigated, in accordance with the guidelines above. The letter will also give a date by which the outcome of the investigation will be reported, in writing, to the parent. Unless there are exceptional circumstances, this will be within 10 working days of receipt of the written complaint.
- 6. In most cases, the complaint will be investigated by the appropriate member of staff . If the complaint concerns the conduct of the Deputy Head Teacher or the Associate Deputy Headteacher, the complaint will be investigated by the Head Teacher. If the complaint concerns the conduct of the Head Teacher, the complaint will be investigated by the Chair of Governors.
- 7. The person investigating the complaint will seek to:
  - establish what has happened so far, and who has been involved;
  - · clarify the nature of the complaint and what remains unresolved;
  - meet with the complainant to clarify what the complainant feels would put things right;

- interview those involved in the matter and/or those complained of, allowing them to be accompanied if they wish;
- conduct interviews with an open mind and be prepared to persist in the questioning;
- keep notes of all interviews undertaken.
- 8. The person investigating the complaint will be responsible for ensuring that a written report of their findings is made to the complainant and that a full record of the investigation, including the original written complaint and the written report, is kept on file. This record is confidential to the parent, the members of staff involved in the investigation of the complaint, and the Head Teacher.
- 9. The letter reporting the outcome of the investigation will explain that, if the parent is not happy with the outcome, they have a right of appeal to a panel of three members of the Governing Body who have not been involved in dealing with the complaint. The letter will ask parents to indicate, in writing, within 5 working days whether or not they wish to appeal.

#### The Appeal Panel

- 1. If parents indicate in writing that they wish to appeal against the outcome of the investigation into their complaint, the Chair of Governors is responsible for convening a meeting of an Appeal Panel within 15 working days of receipt of written indication of the intention to appeal.
- 2. The Appeal Panel will normally consist of the Head Teacher, a member of the Governing Body not employed by the College or involved in any way in the day to day running of the College. If the Head Teacher was involved in the investigation of the complaint or if the complaint concerned the conduct of the Head Teacher, the Head Teacher will not be eligible to be a member of the Appeal Panel.
- 3. The Appeal Panel will be chaired by a member of the Governing Body not employed by the College or involved in any way in its day to day running.
- 4. The Appeal Panel will be clerked by the Clerk to the College Governing Body.
- 5. The Clerk to the Appeal Panel will write to the parent giving at least 10 days' notice of the date and time of the meeting of the Appeal Panel, and advising them of their right to attend and to be accompanied if they so wish.
- 6. The letter giving notice of the Appeal Panel will also include copies of any documents necessary to understand the outcome of the investigation into the original complaint. Such documents may be edited to avoid identifying any students involved.
- 7. At the meeting of the Appeal Panel, the person who investigated the original complaint will outline the process of the investigation and explain the outcome. At the end of this explanation, the parent will be invited to ask any questions. The parent will then be asked to add any comments of their own and, in particular, to explain what they would like to be done in response to their complaint.
- 8. After the person who investigated the original complaint and the parent have spoken and answered any questions put to them by members of the Panel, they will withdraw and the Panel will consider its decision.

- 9. The Panel will consider whether or not they are satisfied with the outcome of the original investigation. If they are satisfied that the outcome was wholly reasonable, the Chair will write to the parent explaining the Panel's decision. A copy of this letter will also be sent to the member of staff about whom the original complaint was made (if appropriate). If they decide that there are flaws in the way the investigation was conducted or in the outcome, they will set out their findings and consider whether or not it is appropriate to offer one or more of the following:
  - an apology
  - an explanation
  - an admission that the situation could have been handled differently or better
  - an assurance that the event complained of will not recur
  - an explanation of the steps that have been taken to ensure that it will not happen again
  - an undertaking to review school policies in light of the complaint
- 10. The Clerk to the Appeal Panel will ensure that a full record of the Panel meeting, including copies of all the papers, is stored at the College in a secure area. All these records are confidential to the parent, the Panel members and the members of staff involved in the original complaint and its investigation.

#### **Monitoring Complaints**

- 1. The Head Teacher will report on the operation of the Complaints Procedure to the Governing Body once a year. This report will include:
  - the number of formal complaints that have been made
  - the number that have been satisfactorily dealt with at the point of the original investigation
  - the number of occasions on which the Appeal Panel has met
  - any significant amendments to school policy or practice as a result of the complaints made
  - any issues that have arisen in the operation of the Complaints Procedure.
- 2. The Head Teacher's report will respect the confidentiality of the individuals concerned.
- 3. In the light of the Head Teacher's report, the Governing Body will consider whether or not the Complaints Procedure should be amended in any way.

#### Storage of records of complaints

Records of all complaints, including information on how complaints have been dealt with and their outcomes, will be stored in a secure area in the school.

#### All Saints Catholic College

#### **COMPLAINT FORM**

Please complete and return to Mrs K Leigh, Head Teacher's PA, who will acknowledge receipt and explain how your complaint will be investigated.

Your name:	
Pupil's name:	Form:
(if complaint relating to a pupil)	
Your relationship to the pupil:	
Address:	
Telephone number:	
Please give details of your complaint:	
What action, if any, have you already taken to try to resolve your complaint? (Who did you speak to, and what was the response?)	
(who did you speak to, and what was the	esponse: )

What do you feel the College might do to resolve the problem at this stage?		
Ano you otto ching any nanony with 2 Theo place		
Are you attaching any paperwork? If so, please give details.		
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Signature:	Date:	
For office use only		
Date acknowledgement sent:		
By whom:		
Further action taken:		
Complaint referred to:		
Date:		